



Plant Immunity Requires Conformational Charges of NPR1 via S-Nitrosylation and Thioredoxins Yasuomi Tada, *et al. Science* **321**, 952 (2008); DOI: 10.1126/science.1156970

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conditions were more common, and that episodes of deep-water oxygenation preceded the Gaskiers or even earlier glaciations. Future work should resolve this.

In the modern world, and through much of the Phanerozoic Eon (19), marine anoxia produces sulfidic conditions. Why was this not generally true in the later Neoproterozoic? The persistence of Fe in anoxic deep waters requires that the molar flux of FeHR to the deep ocean be greater than half the flux of sulfide, the ratio needed to give excess Fe after the formation of FeS₂ (3). Therefore, to explain Neoproterozoic ferruginous deep-water chemistry, we must appeal to factors that either limited S input to the ocean or increased the input of Fe. Indeed, both may have been in play. Previous modeling has suggested that the surface inventory of S may have decreased in size through the Mesoproterozoic and into the Neoproterozoic because of the subduction of sedimentary sulfides deposited beneath sulfidic ocean waters (32). This would have made less S available for weathering and reduced the flux of sulfate to the ocean. Furthermore, Neoproterozoic sulfate concentrations were probably much less than today (32, 33). Reduced sulfate levels change the redox balance during midocean ridge hydrothermal circulation, resulting in an increased flux of Fe from hydrothermal fluids to the oceans (34). We propose that these processes, either singly or combined, produced the chemistry of later Neoproterozoic oceans.

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- 15. Materials and methods are available as supporting material on *Science* Online.
- 16. We have tried to avoid weathering by collecting in the field the freshest material possible, and furthermore, we have removed any further evidence of weathering when preparing samples for crushing, powdering, and eventual chemical extraction. Still, oxidative weathering is possible in some cases. The main influence of weathering will be to oxidize reduced-Fe phases such as FeS₂ and Fe carbonates to Fe oxides. In this way, the total reactive Fe content of the sample would be little affected, although the distribution of phases might be. For this reason, some of our FeS2 and Fe carbonate contents may be underestimated. This is probably the worst for our Sheepbed samples, although all of these still retain FeS₂, sometimes in appreciable amounts (15). Nonetheless, even if we underestimate the FeS₂ and Fe carbonate contents of some samples because of weathering, our evaluation of the nature of ocean chemistry, and particularly the dominance of ferruginous conditions, is generally not affected. This is because although some Fe carbonate may have been lost, the Fe carbonate contents of most samples are high enough to preclude deposition in a sulfidic water column-even making the extreme (and probably incorrect) assumption that all Fe oxides represent oxidized FeS2.
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Supporting Online Material

www.sciencemag.org/cgi/content/full/1154499/DC1 Materials and Methods Figs. S1 and S2 Table S1 References

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Plant Immunity Requires Conformational Charges of NPR1 via S-Nitrosylation and Thioredoxins

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Changes in redox status have been observed during immune responses in different organisms, but the associated signaling mechanisms are poorly understood. In plants, these redox changes regulate the conformation of NPR1, a master regulator of salicylic acid (SA)—mediated defense genes. NPR1 is sequestered in the cytoplasm as an oligomer through intermolecular disulfide bonds. We report that S-nitrosylation of NPR1 by S-nitrosoglutathione (GSNO) at cysteine-156 facilitates its oligomerization, which maintains protein homeostasis upon SA induction. Conversely, the SA-induced NPR1 oligomer-to-monomer reaction is catalyzed by thioredoxins (TRXs). Mutations in both NPR1 cysteine-156 and TRX compromised NPR1-mediated disease resistance. Thus, the regulation of NPR1 is through the opposing action of GSNO and TRX. These findings suggest a link between pathogen-triggered redox changes and gene regulation in plant immunity.

Innate immune responses are evolutionarily conserved among plants and animals (1, 2) and are often associated with changes in cellular oxidative and reductive states. In plants, these

redox changes are sensed by the NPR1 protein, a master regulator of defense gene expression (3). In unchallenged plants, NPR1 resides in the cytoplasm as an oligomer maintained through

redox-sensitive intermolecular disulfide bonds. Upon pathogen challenge, the plant defense signaling molecule salicylic acid (SA) increases and changes the cellular redox state, leading to reduction of the disulfide bonds in NPR1. Reduction of the NPR1 oligomer releases monomer that translocates to the nucleus where it activates the expression of a battery of pathogenesis-related (PR) genes (4). Mutations at residues Cys⁸² and Cys²¹⁶ in NPR1 result in increased monomer accumulation, constitutive nuclear localization, and NPR1-mediated gene expression in the absence of pathogen challenge (3). On the basis of these results, it has been proposed that conformational changes in NPR1 (that is, oligomer-monomer exchange) regulate its nuclear translocation and activity (3).

Oligomerization of proteins through intermolecular disulfide bonds is unusual under reductive cytosolic conditions (5). However, treatment with SA not only induced NPR1 monomer release but also facilitated oligomerization in wild-type plants (fig. S1A). Similar results were obtained with biologically active NPR1 fused with green fluorescent protein (NPR1-GFP) (4) or with tandem affinity purification tag (NPR1-TAP) (fig.

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S2), driven by the constitutive 35S promoter (Fig. 1A). On the basis of these observations, we hypothesized that a catalyst of cysteine thiol oxidation was involved in the formation of the NPR1 oligomer. To search for cellular oxidants facilitating NPR1 oligomerization, we established a cell-free assay in which total protein extract from 35S::NPR1-GFP plants was treated with the reducing agent dithiothreitol (DTT) to partially convert the NPR1-GFP oligomer to monomer. Removal of DTT by dialysis allowed reformation of the oligomer as shown by a decrease in monomer, while the total protein amount remained constant (Fig. 1B). These data suggest that, depending on the cellular environment, NPR1 switches between the oligomeric and monomeric states.

Using the cell-free assay shown in Fig. 1B, we tested the effect of hydrogen peroxide (H_2O_2) as well as the nitric oxide (NO) donors sodium nitroprusside (SNP) and S-nitrosoglutathione (GSNO) on the NPR1 oligomer-monomer equilibrium, because these oxidants accumulate during innate immune responses (6, 7). The treatment of protein extracts with H₂O₂ and SNP had no effect on NPR1 conformation (Fig. 1C). In contrast, GSNO, a natural NO donor, markedly facilitated oligomerization of NPR1 as shown by

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Fig. 1. GSNO facilitates the oligomerization of NPR1. (A) SA induces NPR1 monomer release as well as oligomerization. Nonreducing (-DTT) and reducing (+DTT) immunoblot analysis revealed oligomeric (O), monomeric (M), and total (T) NPR1. (B) Reoligomerization of monomeric NPR1-GFP in a cell-free assay. (C) NPR1 monomer disappears with an increasing concentra-



the disappearance of the monomer while total

NPR1 levels remained unchanged (Fig. 1C).

This is consistent with the finding that unlike

SNP, the treatment of plant cell cultures with

GSNO caused protein S-nitrosylation (8), a pro-

cess in which NO is covalently attached to a

reactive cysteine thiol to form an S-nitrosothiol

(SNO) (9). To further confirm this specific effect

of GSNO, NPR1 activity was monitored in the

GSNO reductase knockout mutant atgsnor1-3,

which displays increased S-nitrosylation activity

(7). We found that SA-induced monomeriza-

tion of the endogenous NPR1 (fig. S1B) and

nuclear translocation of monomeric NPR1-GFP

were inhibited (Fig. 1D). NPR1 oligomer accu-

mulated to higher levels in the atgsnor1-3 mutant

as compared to the wild type (fig. S1B). Ac-

cordingly, SA-induced expression of the NPR1-

dependent defense gene PR-1 was also suppressed

in atgsnor1-3 plants (Fig. 1E). These data suggest

that GSNO affects the conformation of NPR1 and

an increase in cellular SNO levels, and elevated

SNO levels in atgsnor1-3 are associated with

enhanced susceptibility to disease (7). The effect

of GSNO on NPR1 oligomerization, together

with the fact that some of the cysteine residues

in NPR1 are critical for oligomer formation (3),

suggests that one or more NPR1 cysteine thiols

are directly modified by GSNO. To test this, we

examined whether NPR1 is S-nitrosylated in

planta, using the biotin-switch method (10), which

specifically detects S-nitrosylated proteins (11).

Total protein was extracted from SA-treated

wild-type and transgenic 35S::NPR1-GFP plants

and then incubated with or without ascorbate,

In Arabidopsis, pathogen infection induces

consequently its activity in innate immunity.

tion of GSNO but not of SNP or H_2O_2 , while total protein levels are unaffected. (D) SA induces nuclear localization of the NPR1-GFP monomer in the wild type but not in the atgsnor1-3 mutant. (E) SA-induced PR-1 gene expression is compromised in atgsnor1-3 plants. PR-1 expression was determined by real-time polymerase chain reaction (PCR) and normalized with ubiquitin (UBQ). Error bars represent SD.

which specifically reduces SNO groups (10). The resulting free thiols were then covalently coupled to biotin-HPDP (biotin-N-[6(biotinamido)hex1]-3'-(2'-pyridyldithio)propionamide) and immunoprecipitated with streptavidin beads. Immunoblot analysis revealed that both endogenous NPR1 and transgenic NPR1-GFP were pulled down only in ascorbate-treated samples, indicating that these proteins were specifically S-nitrosylated in vivo (Fig. 2A). Treatment with SA enhanced the Snitrosylation of NPR1 (fig. S3A). We then applied the biotin-switch method to our cell-free assay and found that GSNO increased S-nitrosylation of NPR1, whereas SNP was ineffective in this respect (Fig. 2B). These results suggest that GSNO may facilitate NPR1 oligomerization directly through thiol S-nitrosylation.

Previously, we demonstrated that Cys⁸², Cys¹⁵⁰, Cys¹⁵⁵, Cys¹⁶⁰, and Cys²¹⁶ in and adjacent to the BTB/POZ domain of NPR1 (fig. S4) are important in the oligomer-monomer exchange (3). This suggests that the N-terminal half of NPR1 is sufficient for oligomerization. We purified recombinant protein containing the hexa-histidine (6xHis)-tagged N-terminal half of NPR1 (His6-NH, residues 1 to 246) and examined its oligomerization properties in response to NO donors. As compared with the control, treatment of purified His6-NH with GSNO resulted in increased S-nitrosylation and multimerization of His6-NH (Fig. 2C). Similar results were obtained with the NO donor diethylamine-NO (DEA/NO) (fig. S3, B and C), further supporting a role of SNO in stimulating NPR1 oligomerization. In contrast, SNP treatment failed to S-nitrosylate and multimerize His6-NH (Fig. 2C). Thus, at least one NOsensitive cysteine lies within the 246 N-terminal

GSNO (µM)

SNP (µM)

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SA

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atgsnor1-3

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residues of NPR1. Indeed, mutation of Cys156 abolished both GSNO-triggered S-nitrosylation (Fig. 2D) and oligomerization (Fig. 2E). Taken together, these findings indicate that GSNO Snitrosylates NPR1 at Cys156. Similar to the SNOmediated disulfide bond formation in myoglobulin (12, 13), S-nitrosylation of Cys¹⁵⁶ may directly facilitate disulfide linkage between NPR1 monomers. Computational modeling of the NPR1 BTB domain according to previously published BTB crystal structures (14, 15) predicts that one or more disulifide bonds may form between Cys¹⁵⁰ Cys¹⁵⁵, Cys¹⁵⁶, and Cys¹⁶⁰ in the NPR1 oligomer (fig. S5). Alternatively, S-nitrosylation of Cys¹⁵⁶ may lead to conformational changes in NPR1 that favor oligomerization as reported for SNOfacilitated dynamin oligomerization (16).

Transformation of 35S::NPR1C156A-GFP into mutant *npr1* plants consistently resulted in constitutive nuclear fluorescence relative to uninduced 35S::NPR1-GFP plants (Fig. 3A), suggesting that the NPR1C156A-GFP (C156A, $Cys^{156} \rightarrow Asp^{156}$) protein does not form oligomers as efficiently as wild-type protein. Although NPR1C156A-GFP protein still formed oligomers before induction, it lacked any SA-induced increase in oligomerization (Fig. 3B), indicating that Cys¹⁵⁶ is required for SNO-facilitated oligomerization in vivo.

The effect of the NPR1C156A mutation on plant defense was demonstrated when plants were challenged by Pseudomonas syringae pv. maculicola ES4326 (Psm ES4326). Consistent with the nuclear accumulation of NPR1C156A-GFP (Fig. 3A) and its normal interaction with TGA transcription factors (fig. S6), untreated 35S::NPR1C156A-GFP plants showed enhanced resistance to this pathogen as compared with 35S::NPR1-GFP plants (Fig. 3C). However, unlike 35S::NPR1-GFP, treatment with SA for 48 hours did not enhance resistance in 35S:: NPR1C156A-GFP plants. These findings indicate that SNO-Cys156-mediated oligomerization is necessary to maintain NPR1 homeostasis upon SA activation. Immunoblot analysis (Fig. 3D) and GFP fluorescence (Fig. 3A) showed that the NPR1C156A protein was depleted 48 hours after SA treatment, explaining the compromised pathogen resistance.

To counter the effect of SNO-facilitated NPR1 oligomerization, reducing agents must be engaged upon SA induction to catalyze the NPR1 oligomer-to-monomer switch. To identify such agents, we performed pull-down assays with recombinant His6-NH protein and identified two thioredoxins (TRXs), TRX-h3 and TRX-h5 (Fig. 4A). Among the eight cytosolic TRX-h genes in Arabidopsis, TRX-h5 is substantially up-regulated upon infection with P. svringae (17), whereas TRX-h3 is the most highly constitutively expressed TRX-h (18) (fig. S7). In a reverse experiment, we covalently trapped NPR1 using mutant TRXs (TRX-h3M and TRX-h5M), in which the second catalytic cysteine was changed to serine to prevent the completion of substrate reduction

(19) (fig. S8A). Pull-down experiments showed that the NPR1-binding affinity of TRX-h was inversely correlated with its enzymatic activity (fig. S8, B and C), suggesting that TRX-h is the enzyme catalyzing NPR1 oligomer reduction. The transient nature of this interaction made it difficult

Fig. 2. S-nitrosylation of Cys¹⁵⁶ facilitates the assem-Cys¹⁵ bly of NPR1 oligomer. (A) SA induces S-nitrosvlation of endogenous NPR1 and the NPR1-GFP proteins in vivo. Sodium ascorbate (Asc) was used to specifically detect S-nitrosylated (SNO) NPR1. Equal loading was verified with antibodies against NPR1 or NPR1-GFP. (B) GSNO, but not mock (---) or SNP treatment, induces S-nitrosylation of NPR1-GFP in plant extracts. S-nitrosylated NPR1-GFP was detected with the biotin-switch assay. An antibody against NPR1-GFP was used to verify



equal loading. (C) GSNO, but not mock (–) or SNP treatment, induces S-nitrosylation and multimerization (black arrows) of recombinant His6-NH (NPR1 residues 1 to 246) monomer (gray arrow). Equal loading was verified with an antibody to NPR1. (D) Cys¹⁵⁶ is the principal site of S-nitrosylation in NPR1. Recombinant His6-NH and His6-NH-C156A proteins were incubated with different GSNO concentrations, and S-nitrosylation was detected by the biotin-swich assay. Equal loading was verified with an antibody to NPR1. (E) The C156A mutation impairs GSNO-induced oligomerization. Recombinant His6-NH and His6-NH-C156A proteins were treated with GSNO and with (+) or without (–) sodium ascorbate. Subsequently, monomers were allowed to re-oligomerize for the indicated times. Monomeric (–DTT) and total (+DTT) proteins were detected with an antibody to NPR1.

Fig. 3. S-nitrosylation of Cys¹⁵⁶ is essential for NPR1 protein homeostasis and SA-induced disease resistance. (A) SA treatment reduces the constitutive nuclear fluorescence of NPR1C156A-GFP. (B) The C156A mutation impairs NPR1 oligomer formation in response to SA. 35S::NPR1-GFP and 35S::NPR1C156A-GFP plants were treated with SA. The relative amount of NPR1 oligomer was determined by calculating densitometric ratios between induced and uninduced samples and normalized against total NPR1 protein. Error bars represent SD (n = 3 measurements). (C) SA-induced resist-



ance is compromised in NPR1C156A plants. Error bars represent 95% confidence limits (n = 8 xxxx). cfu, colony-forming unit. (**D**) SA treatment decreases NPR1C156A protein levels. *355::NPR1-GFP* and *355::NPR1C156A-GFP* plants were treated with (+) or without (-) SA for 48 hours. NPR1-GFP protein was detected with an antibody to GFP, and equal loading was verified with an antibody against constitutively expressed Ca²⁺-sensing receptor (CAS).

to examine the NPR1/TRX-h interaction in vivo. Therefore, we fixed the enzyme-substrate intermediate [see supporting online material (SOM) text] and coimmunoprecipitated TRX-5h with NPR1-TAP (Fig. 4B). Treatment with SA further increased the interaction. In vivo interaction of TRX-h5 with NPR1 suggests that it may be involved in catalysis of the NPR1 oligomer-tomonomer reaction during plant defense. We added recombinant TRX-h5 to cell lysates containing NPR1-GFP oligomer and showed that, compared to the control, the amount of NPR1-GFP monomer increased within 15 min of incubation (Fig. 4C).

TRX-h5 was required in vivo for SA-induced monomer release (Fig. 4D). Both *TRX-h3* and *TRX-h5* were required for full induction of *PR* genes (Fig. 4E). Additionally, in the *trx* mutants, NPR1-dependent systemic acquired resistance (SAR) against *Psm* ES4326, triggered after local inoculation of avirulent *Psm* ES4326/avr*Rpt2*, was partially impaired (Fig. 4, F and G). Consistently, the TRX reductase knockout mutant *ntra* (20), which blocks the regeneration of cytosolic TRXs, showed a complete loss of SAR.

Our study provides a molecular mechanism to explain how cellular redox changes during pathogen challenge lead to transcriptional reprogramming and disease resistance (fig. S9). We propose that redox signals are conveyed through SNO and cytosolic TRXs, which directly catalyze the NPR1 oligomer-monomer exchange. Upon pathogen challenge, SA induces *TRX-5h* to catalyze the release of NPR1 monomer and possibly prevent oligomerization of some of the monomer. Induction also leads to S-nitrosylation of NPR1, which facilitates oligomerization to prevent protein depletion. SA-induced NPR1 oxidation and reduction may occur sequentially as the application of inducers of SAR results in transient oxidative and reductive fluctuations (*3*). To test this hypothesis, we treated plants with a combination of the translation inhibitor cycloheximide, the proteasome inhibitor MG115, and SA. In the absence of protein synthesis and degradation, SA-induced monomer accumulation was highest 12 hours after treatment. However, after 16 hours, NPR1 monomer re-oligomerized (fig. S10). The biological importance of controlling NPR1 homeostasis is demonstrated by the impaired immune responses of the *NPR1C156A* and *trx* mutants.

NO has long been proposed to be involved in responses to plant hormones, salt stress, ultraviolet light, and pathogens (21, 22). However, our knowledge of NO direct targets and its molecular effects on gene expression is limited. Recently, S-nitrosylation of Arabidopsis peroxiredoxin II E was shown to cause the accumulation of peroxynitrite (ONOO⁻) (23). Increased ONOO⁻ levels induced tyrosine nitration of proteins, which might activate the plant defense mechanism known as the hypersensitive response. Even though the in vivo concentration and subcellular localization of GSNO have yet to be determined, genetic studies with Arabidopsis atgsnor mutants indicated that GSNO functions as an endogenous signal in plant defense responses (7). The identification of NPR1 as a direct target of S-nitrosylation may explain the phenotype of the atgsnor mutants. In mammals, NO functions as an anti-inflammatory signal by S-nitrosylating I κ B kinase β (IKK β) (24), the catalytic subunit of IKK, required for activation of the transcriptional immune regulator nuclear factor κB (NF- κB). S-nitrosylation of IKK β inactivates IKK and retains NF- κB in the cytoplasm. This response is reminiscent of NPR1 oligomerization by S-nitrosylation, which prevents NPR1 from entering the nucleus (fig. S9). This suggests that redoxmediated transcription regulatory mechanisms are a common feature of immune responses in both plants and animals.

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Fig. 4. TRX is a redox mediator of NPR1. (A) Immobilized His6-NH pulls down TRXs in vitro. TRXs were detected with proteinspecific antibodies. (B) 35S::NPR1-TAP transformed and untransformed plants were treated with or without SA. SA treatment enhanced coimmunoprecipitation of TRX-h5 with NPR1-TAP as detected by an antibody to TRX-h5. (C) NPR1-GFP oligomer (O) is reduced to monomer (M) by TRX-h5 in vitro. DTT (0.33 mM) was added to recycle TRX activity. Detection of total (T) NPR1-GFP verified equal loading. (D) In vivo reduction of NPR1 oligomer to monomer in Col-0 and trx-h5 plants 12 hours after SA treatment. Nonreducing (-DTT) and



reducing (+DTT) SDS-polyacrylamide gel electrophoresis were performed in parallel, and the endogenous NPR1 was detected with an antibody to NPR1. The asterisk indicates possible NPR1 dimer complexes. (**E**) SA-induced *PR-1* gene expression is compromised in *trx* mutants. *PR-1* expression was de-

termined by real-time PCR and normalized with *ubiquitin* (*UBQ*). Error bars represent SD. (**F** and **G**) Induction of SAR significantly decreased disease symptoms and *Psm* ES4326 growth in Col-O plants but not in the *trx*, *ntra*, and *npr1-1* mutants. Error bars represent 95% confidence limits (n = 8 samples).

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Supporting Online Material

www.sciencemag.org/cgi/content/full/1156970/DC1 Materials and Methods Figs. S1 to S10 References

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A Global View of Gene Activity and Alternative Splicing by Deep Sequencing of the Human Transcriptome

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The functional complexity of the human transcriptome is not yet fully elucidated. We report a high-throughput sequence of the human transcriptome from a human embryonic kidney and a B cell line. We used shotgun sequencing of transcripts to generate randomly distributed reads. Of these, 50% mapped to unique genomic locations, of which 80% corresponded to known exons. We found that 66% of the polyadenylated transcriptome mapped to known genes and 34% to nonannotated genomic regions. On the basis of known transcripts, RNA-Seq can detect 25% more genes than can microarrays. A global survey of messenger RNA splicing events identified 94,241 splice junctions (4096 of which were previously unidentified) and showed that exon skipping is the most prevalent form of alternative splicing.

Gibal analysis of gene expression has mostly relied on RNA hybridization on high-density arrays (1-3), allowing the profiling of many tissues (4, 5) but detecting only specific sequences. Whole-genome tiling arrays theoretically allow the capture of much of the complexity of the transcriptome (6, 7), but they ignore splice-junction information and are associated with high costs and difficulties in data analysis. Arrays that specifically detect alternative splicing (AS) events (8, 9) have been hampered by issues of completeness and specificity.

Digital transcript-counting approaches overcome many of the inherent limitations of arraybased systems and bypass problems inherent to analog measurements, including complex normalization procedures and limitations in detecting low-abundance transcripts. However, the expressed sequence tag (EST) approach, providing partial sequences of individual cDNA clones, is sensitive to cloning biases and has high costs. Serial analysis of gene expression (10) and massively parallel signature sequencing (11) are also costly and cannot be used for splicing events.

The potential of RNA-Seq (short-read highthroughput sequencing) was first demonstrated by the polony multiplex analysis of gene expression, allowing the detection of 0.3 RNA copies per cell (12). Illumina-based RNA-Seq technology has recently been applied to yeast and *Arabidopsis thaliana* (13–15), providing transcriptome surveys at single-nucleotide resolution.

We present here a snapshot of the human transcriptome at base-pair resolution via RNA-Seq (16). Briefly, poly(A) RNA was extracted from human embryonic kidney (HEK) 293T and Ramos B cells and used to generate doublestranded cDNA using random hexamers as primers. The double-stranded DNA was sheared by sonication for preparing the sequencing libraries according to the Illumina protocol (16). Illumina deep sequencing was used to generate 27-base pair (bp) reads from replicate samples for each cell line. Reads were mapped to the human genome (hg18, National Center of Biotechnology Information build 36.1) using the Eland software, allowing up to two mismatches (16). Of the total reads, 50% matched to unique genomic locations, 16 to 18% showed multiple matches, and 25% had no match to the genome (Table 1 and table S1). 6000 reads from HEK were adenovirus or SV40 sequences,

reflecting the origin of this cell line. We mapped the unique reads to known genes based on both ENSEMBL (17) and RefSeq/ElDorado (Tables 1 and 2 and tables S1 and S2) (16): 80% of the unique reads mapped to known exons.

Digital expression levels were normalized (NE values) by taking into account the theoretical number of unique 27-mers (sequences that are 27 bases long) contained in each exon and the total number of reads generated in each experiment (table S2) (*16*).

To assess whether NE values were a reliable indicator of gene activity, we correlated these values with hypophosphorylated RNA polymerase II (PolIIa) occupancy, used as a landmark of transcription initiation (18). For HEK, we identified PolIIa islands by chromatin immunoprecipitation and sequencing (ChIP-Seq) (16). Figure 1 shows that the density of PolIIa reads correlates positively with gene expression levels. However, in contrast to a study reporting that 37% of the silent promoters contained PolII islands (19), we observed virtually no PolIIa near the promoters of silent genes. This apparent contradiction is most likely due to the higher sensitivity of RNA-Seq, detecting gene expression that would be scored silent with arrays (see below). The current model of the prerecruitment of PolIIa at the promoter of silent genes (20) may be lacking sufficiently sensitive expression data. In Fig. 1, the peaks for low and moderately expressed genes exhibit a more pronounced shoulder than those for highly expressed genes. This might reflect the presence of a large preinitiation complex where PolIIa is parked upstream of the transcription start site (TSS) of the less active genes until activated, or the existence of alternative TSS. In clustering the reads specifying PolIIa-bound regions, we identified 9710 PolIIa-bound regions, of which 80% associated to known promoters (table S3) (16). Of the remaining 1936 PolIIa-bound regions, more than half were supported by Capanalysis of gene expression (CAGE) tags (21), and 567 were either located within genes or less than 1 kb upstream of the next annotated transcript, representing putative alternative promoters.

In evaluating the dynamic range and sensitivity of RNA-Seq, we predicted the number of genes present within a cell type by applying a Poisson mixture statistical analysis on the number of reads mapped to genes (16, 22). We showed that the performances achieved for each sample corresponded to a gene identification score of 83 to 92% for HEK and 70 to

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